Docket No: 30203/37167A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

Box Patent Application Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s):

Eryurek et al.

Title:

Creation and Display of Indices Within a Process Plant

1. Type of Application

- \boxtimes This is a new application for a
 - utility patent claiming priority from U.S. Provisional Application

60/273,164 filed March 1, 2001.

- design patent.
- This is a continuation-in-part application of prior application no.

2. **Application Papers Enclosed**

- 1 Title Page
- 59 Pages of Specification (excluding Claims, Abstract, Drawings & Sequence Listing)
- 12 Page(s) of Claims
- 1 Page(s) of Abstract
- 29 Sheet(s) of Drawings (Figs. 1 to 33)

 \boxtimes

Formal

Informal

Page(s) of Sequence Listing

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on February 28, 2002, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 027096170 US.

Richard Zimmermann

| 3. | Declara | tion or (| Dath | | | |
|----|-------------|-------------|------------------------|-----------|-----------|---|
| | | | Enclose | ed | | |
| | | | Ò | Execute | ed by (c | heck all applicable boxes) |
| | | | | | Invento | or(s) |
| | | | | | | epresentative of inventor(s) R 1.42 or 1.43) |
| | | | | | | nventor or person showing a proprietary interest on of inventor who refused to sign or cannot be |
| | | | | | | The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed. See Item 5D below for fee. |
| | | × | Not en applica follow. | ition on | the und | dersigned attorney or agent is authorized to file this of the applicant(s). An executed declaration will |
| 4 | Small I | Entity S1 | atus | | | |
| | · · · · · · | | | | | |
| | | Applica | ant clair | ns smal | l entity | status. See 37 CFR 1.27. |
| | | | A sma | ll entity | stateme | ent is(are) attached. |
| 5. | Additio | onal Pap | ers Enc | losed | | |
| | | | Prelim | inary Ar | nendme | nt |
| | | | Inform | nation D | isclosur | e Statement |
| | | | Decla | ration of | Biologi | cal Deposit |
| * | | | | uter rea | | ppy of sequence listing containing nucleotide and/or |
| | | | Micro | fiche co | mputer | program |
| | | | Assoc | iate Pov | wer of A | attorney |
| | | | Verifi | ed trans | lation of | f a non-English patent application |
| | | | An as | signmer | nt of the | invention |
| | | | Reque | est and | Certifica | ntion Under 35 U.S.C. 122(b)(2)(B)(i) |
| | | \boxtimes | Retur | n receip | t postca | ard |
| | | | Other | | | |

| 6. Priority | Applications | Under | 35 | USC | 119 |
|-------------|--------------|-------|----|-----|-----|
|-------------|--------------|-------|----|-----|-----|

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

are attached.

□ will follow.

| COUNTRY | APPLICATION NO. | FILED |
|---------|-----------------|-------|
| | | |
| | | |
| | | |

7. Filing Fee Calculation (37 CFR 1.16)

| CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY) | | | | | | | |
|--|--|-----------|---------|----------|------------------------------|----------|--|
| | A CONTRACTOR OF THE CONTRACTOR | | SMALL E | NTITY | OTHER THAN A SMALL ENTITY | | |
| | NO. FILED | NO. EXTRA | RATE | FEE | RATE | FEE | |
| BASIC FEE | Comments of the comments of th | | | \$370.00 | | \$740.00 | |
| TOTAL | 74 -20 | = 54 | X 9 = | \$ | X 18 = | \$972.00 | |
| INDEP. | 3 - 3 | = | X 42 = | \$ | X 84 = | \$ | |
| ☐ First Presentation of Multiple Dependent Claim | | | + 140 = | \$ | + 280 = | \$ | |
| Filing Fee: \$ OR \$1,712.00 | | | | | | | |

| B. | | Design Application (\$165.00/\$330.00) Filing Fee: \$ | |
|----|---------|---|----------|
| C. | | Plant Application (\$255.00/\$510.00) Filing Fee: \$ | |
| D. | Other I | Fees | |
| | | Recording Assignment [Fee \$40.00 per assignment] | \$ |
| | | Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refuse to sign or cannot be reached [Fee \$130.00] | ed \$ |
| | | Other | \$ |

Total Fees Enclosed \$1,712.00

| 8. Method of Payment of Fe | 8. | Method | of | Payment | of | Fee |
|----------------------------|----|--------|----|----------------|----|-----|
|----------------------------|----|--------|----|----------------|----|-----|

| \boxtimes | Enclosed check in the amount of: | \$ <u>1,712.00</u> |
|-------------|--|--------------------|
| | Charge Deposit Account No. 13-2855 in the amount of: A copy of this Transmittal is enclosed. | \$ |
| | Not enclosed | |

9. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

10. Correspondence Address

Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6357 (312) 474-6300 (312) 474-0448 (Telefacsimile)

By:

Aaron M. Peters Reg. No: 48,801

February 28, 2002